



1625

PATENT
Attorney Docket No. 01197.0226-00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Shinji AKI et al.) Group Art Unit: 1625
Application No.: 09/869,264) Examiner: D. Margaret Seaman
Filed: August 5, 2002) Confirmation No.: 2444
For: PROCESS FOR PRODUCING)
CARBOSTYRIL DERIVATIVES)

MAIL STOP RECONSTRUCTION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir;

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RESPONSE TO NOTICE UNDER 37 CFR 1.251 – PENDING APPLICATION

Applicants hereby submit with this reply a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicants for the above-identified application (except for U.S. patent documents), along with the attached Notice Under 37 CFR 1.251 – Pending Application Statement. Applicants are not aware of any correspondence between the Office and applicants for the above-identified application that is not among applicant's records. Applicants have provided a file index categorizing each communication (Tabs 1-13) for the Examiner's convenience.

If there is any fee due in connection with the filing of this Statement, please charge the fee to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: November 23, 2005

By: Maria T. Bautista
Maria T. Bautista
Reg. No. 52,516



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
EXAMINER				
ART UNIT		PAPER NUMBER		

DATE MAILED:

NOTICE UNDER 37 CFR 1.251 - Abandoned Application

☒ The file of the above-identified application cannot be located after a reasonable search. Therefore, the Office is initiating the reconstruction of the file of the above-identified application pursuant to the provisions of 37 CFR 1.251.

Applicant is given a period of **THREE (3) MONTHS** from the mailing date of this notice within which to provide a copy of applicant's record (if any) of all of the correspondence between the Office and applicant for the above-identified application (except for U.S. patent documents), a list of such correspondence, and a statement that the copy is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and whether applicant is aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

☐ The following paper(s) pertaining to the above-identified application cannot be located after a reasonable search:

Therefore, the Office is initiating the reconstruction of such paper(s) pursuant to the provisions of 37 CFR 1.251.

Applicant is given a period of **THREE (3) MONTHS** from the mailing date of this notice within which to provide a copy of the paper(s) listed above and a statement that the copy of such paper(s) is a complete and accurate copy of applicant's record of such paper(s).

Alternatively, applicant may reply to this notice by producing applicant's record (if any) of all of the correspondence between the Office and the applicant for the above-identified application for the Office to copy (except for U.S. patent documents), and provide a statement that the papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), whether applicant is aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records. Such records must be brought to the Customer Service Center in the Office of Initial Patent Examination (Crystal Plaza 2, 2011 South Clark Place, Arlington, VA 22202).

If applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application (or any copy of the paper(s) listed above), applicant must reply to this notice by providing a statement that applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

☒ A printout from PALM of the contents of the file of the above-identified application is included with this notice.

Direct the reply to this notice to:

Box Reconstruction
United States Patent and Trademark Office
Washington, DC 20231

Direct questions concerning this notice to:

Renee M. Jones
577-272-0547
(703)

ATTN: Deborah Dotson

FORM PTO-2054-B (REV. 11/2000)

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U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

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In re Application of: Shinji AKI et al.

Application No.: 09/869,264

Filing Date: August 5, 2002

Title: PROCESS FOR PRODUCING CARBOSTYRIL DERIVATIVES
DERIVATIVES

Direct to: Box Reconstruction
United States Patent and Trademark Office
Washington, DC 20231

NOTICE UNDER 37 CFR 1.251 - Abandoned Application

Statement (check the appropriate box):

☒ The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

☐ The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).

☐ The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.

☐ Applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

November 23, 2005
Date

Maria Bautista
Signature

Maria T. Bautista Reg. No. 52,516
Typed or printed name

A copy of this notice should be returned with the reply.

Burden Hour Statement: This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Attorney Docket No. 01197.0226-00000

In re: Application of: **Shinji AKI et al**
Appl Ser. No.: **09/869,264**
Filed: **August 5, 2002**
Title: **PROCESS FOR PRODUCING CARBOSTYRIL
DERIVATIVES**

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Priority Data: **This application is a national stage application of
international application no. PCT/JP01/03803, which
was filed 05/02/2001**

**CORRESPONDENCE BETWEEN
FH AND THE USPTO**

TAB	DATE	FROM	DESCRIPTION
1	06/27/2001	FH	National Stage Patent Application
2	08/09/2001	FH	Information Disclosure Statement
3	08/13/2001	FH	Preliminary Amendment
4	06/04/2002	PTO	Notification of Missing Requirements
5	06/10/2002	FH	Second Supplemental Information Disclosure Statement
6	08/05/2002	FH	Response to Missing Requirements
7	08/05/2002	FH	Recordation of Assignment
8	11/07/2002	PTO	Notice of New or Revised Publication Date
9	12/18/2002	PTO	Office Action
10	03/06/2003	PTO	Notice of Publication of Application
11	11/14/2003	PTO	Notice of Abandonment
12	08/25/2005	PTO	Notice Under 37 CFR 1.251
13		FH	Response to Notice Under 37 CFR 1.251